

03500.017455

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
TOSHIHIKO OUCHI	)	Examiner: Not Yet Assigned
Appl. No. 10/730,033	)	Group Art Unit: Not Yet Assigned
Filed: December 9, 2003	)	
For: OPTICAL WAVEGUIDE DEVICE,	)	
LAYERED SUBSTRATE AND	)	
ELECTRONICS USING THE	)	
SAME	)	January 26, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. Since the U.S. Patent and Trademark Office waived the requirement under 37 C.F.R. § 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003, no copies of such documents are enclosed. Copies of the other listed documents are enclosed.

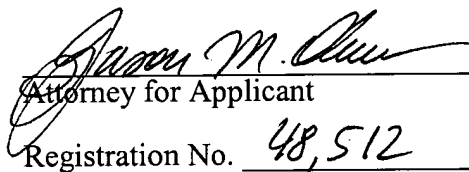
The concise explanation of relevance for the non-English document may be found, inter alia, in the specification where it is cited and/or in the English language abstract attached thereto.

### CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicant  
Registration No. 48,512

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FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		ATTY DOCKET NO. <b>03500.017455</b>		APPLICATION NO. <b>10/730,033</b>			
		APPLICANT <div style="text-align: center;"><b>Toshihiko Ouchi</b></div>					
		FILING DATE <div style="text-align: center;"><b>December 9, 2003</b></div>		GROUP <div style="text-align: center;"><b>N.Y.A.</b></div>			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL  <div style="border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center; width: 50px; margin: 0 auto;">             PIPE JAN 27 2004 PATENT &amp; TRADEMARK OFFICE           </div>		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2003/0179979 A1	09/25/03	Ouchi	385	14	
		2003/0039455 A1	02/27/03	Ouchi	385	88	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	JP	9-270751	10/14/97	Japan			Abstract
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
		<b>L Balliet et al., "Optical Transmission System for Interconnecting Electronic Units," 26(4) IBM          Technical Disclosure Bulletin 1793-1796 (1983).</b>					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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